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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/687,207

Filing Date October 16, 2003

First Named Inventor Kenneth W. Johnson

Art Unit 3764

Examiner Name Not Yet Assigned

Attorney Docket Number 409297

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/
Incomplete Application

☐ Reply to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ Landscape Table on CD

☐ After Allowance Communication to TC

☐ Appeal Communication to Board
of Appeals and Interferences

☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

Form 1449

Certificate of Mailing

Return Post Card

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm

LATHROP & GAGE LC

Signature

Printed Name

Robert J. Lambrechts

Date

June 27, 2005

Reg.
No.

46,854

CERTIFICATE OF MAILING 37 CFR 1.10

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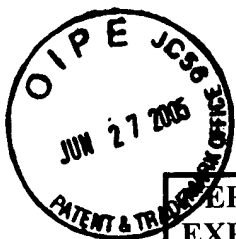
Sharon Schenk

Date

June 27, 2005

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**CERTIFICATE OF MAILING BY
EXPRESS MAIL POST OFFICE TO ADDRESSEE (37 CFR 1.10)**

Matter No.

Applicant(s): Kenneth W. Johnson

409297

Serial No.

Filing Date

Examiner

Group Art Unit

10/687,207

October 16, 2003

Not Yet Assigned

3764

Invention Rotary Rehabilitation Apparatus and Method

I hereby certify that the following: Transmittal Form (1 page); Information Disclosure Statement (2 pages); Form 1449 (1 page); and return post card are being mailed in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 27th day of June, 2005.

Sharon Schenk

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PATENT
Attorney Docket No.: 409297
Express Mail Label No.: EV413242562US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	Kenneth W. Johnson	Examiner	Not Yet Assigned
Serial No.	10/687,207	Group Art No.	3764
Filed	October 16, 2003	Confirmation No.	7144
For	Rotary Rehabilitation Apparatus And Method		

June 27, 2005

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application, or date of entry of national application, or before mailing date of first office action on the merits, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, which ever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of issue fee)

Please charge to Deposit Account 12-0600 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 12-0600 pursuant to 37 CFR 1.25.

☐ Applicant(s) submit herewith Form PTO 1449 – Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of the application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

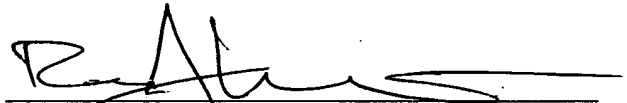
☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable

about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counter part foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith

It is requested that the information disclosed herein be made of record in this application

Respectfully submitted,

LATHROP & GAGE LLC



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Fax No: 816/292-2001



Institute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/687,207
Filing Date	October 16, 2003
First Named Inventor	Kenneth W Johnson
Art Unit	3764
Examiner Name	Not Yet Assigned
Attorney Docket Number	409297

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-610,157	08-30-1898	Campbelle	
		US- 4,446,753	05-08-1994	Nagano	
		US- 4,850,245	07-25-1989	Feamster, et al.	
		US- 4,606,241	08-19-1986	Fredriksson	
		US- 5,161,430	11-10-1992	Febey	
		US- 5,361,649	11-08-1994	Slocum, Jr.	
		US- 5,458,022	10-17-1995	Mattfeld, et al.	
		US- 6,589,139 B1	07-08-2003	Butterworth	
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FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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